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014208.1360 (50-00-005)



PATENT APPLICATION  
USSN 09/840,727

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Alando M. Ballantyne, et al.  
Serial No.: 09/840,727  
Date Filed: April 23, 2001  
Confirmation No. 4537  
Group Art Unit No. 2122  
Examiner: Kuo Liang J. Tang  
Title: *Method and System for Reporting XML Data Based on Precomputed Context and a Document Object Model*

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

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I hereby certify that this communication is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name:

*Willie Jiles*

Willie Jiles

Date: April 22, 2005

**Information Disclosure Statement**

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, that the references listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified patent application. Copies of these references are enclosed for the convenience of the Examiner. No representation is made that a search has been made, that these references are material to the patentability of the present invention, or that these references qualify as prior art.

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PATENT APPLICATION  
USSN 09/840,727

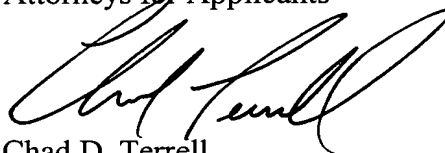
2

This Information Disclosure Statement is being submitted pursuant to 37 C.F.R. § 1.97(c)(2).

The Commissioner is hereby authorized to charge the IDS filing fee of \$180.00 to Deposit Account No. 05-0765 of Electronic Data Systems Corporation. **A duplicate copy of this page is enclosed.**

Respectfully submitted,

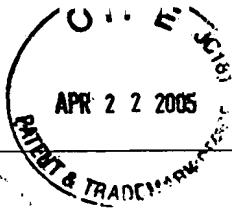
BAKER BOTTS L.L.P.  
Attorneys for Applicants

A handwritten signature in black ink, appearing to read "Chad D. Terrell", is written over the printed name.

Chad D. Terrell  
Reg. No. 52,279

Date: April 22, 2005

Customer Number: **35005**



PTO-1449 <b>Information Disclosure Citation in an Application</b>	Application No. <b>09/840,727</b>	Applicant(s) <b>Ballantyne, et al.</b>	
	Docket Number <b>014208.1360</b>	Group Art Unit <b>2122</b>	Filing Date <b>April 23, 2001</b>

## U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	2002/0156772	10/24/2002	Chau, et al.	707	3	01/31/2002
	B	2003/0005410	01/02/2002	Harless	717	114	06/02/1999
	C	5,640,550	06/17/1997	Coker	395	604	04/14/1994
	D	5,960,200	09/28/1999	Eager, et al.	395	705	09/16/1996
	E	6,182,024	01/30/2001	Gangopadhyay, et al.	703	22	01/30/2001
	F	6,230,117	05/08/2001	Lymer, et al.	703	22	03/23/1998
	G	6,446,110	09/03/2002	Lecture, et al.	709	203	04/05/1999
	H	6,502,236	12/31/2002	Allen, et al.	717	136	03/16/1999
	I	6,687,873	02/03/2004	Ballantyne, et al.	715	500	03/09/2000
	J						
	K						
	L						
	M						
	N						

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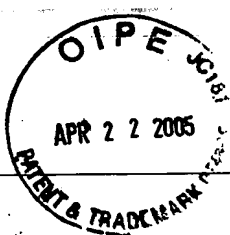
		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	O							

## NON-PATENT DOCUMENTS

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	P	Coyle, "Legacy Integration - Changing Perspectives," <u>IEEE Software</u> , March/April 2000, pp. 37-41.	March/April 2000
	Q	Comella-Dorda, et al., "A Survey of Black-Box Modernization Approaches for Information Systems," ©2000 <u>IEEE</u> , pp. 173-183.	2000
	R	Chin, et al., "DECODE: A Co-operative Program Understanding Environment," <u>Software Maintenance Research and Practice</u> , Vol. 8, 3-33 (1996), pp. 3-33.	1996
	S	Sneed, "Accessing Legacy Mainframe Applications via the Internet," Keynote for Web Site Evolution (WSE-2000), Zürich, March 2000, <u>Reengineering Week</u> , 2000 Zürich/euroREF, pp. 1-13.	2000
	T	Desclaux, et al., "MACS: Maintenance Assistance Capability for Software, A K.A.D.M.E.," © 1991 <u>IEEE</u> , pp. 2-12.	1991

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



PTO-1449  <b>Information Disclosure Citation in an Application</b>	Application No.	Applicant(s)	
	09/840,727	Ballantyne, et al.	
	Docket Number	Group Art Unit	Filing Date
	014208.1360		April 23, 2001

### U.S. PATENT DOCUMENTS

		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A						
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### FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	L							

### NON-PATENT DOCUMENTS

		DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
	M	Fritz, et al., "An Overview of Hierarchical Control Flow Graph Models," Proceedings of the 17th Conference on Winter Simulation, (1995), pp. 1347-1355.*	1995
	N	Karpinski, "Databases, Tools Push XML Into Enterprise," internetweek.com, Nov. 16, 1998 (4 pages) <a href="http://www.internetwk.com/news1198/news111698-3.htm">http://www.internetwk.com/news1198/news111698-3.htm</a> .*	11/16/1998
	O	Shklar, "Web Access to Legacy Data," Tutorial Notes, The Fifth International WWW Conference '96, Paris, France, pp. 241-256, O'Reilly & Assoc., May 1996, <a href="http://www.cs.rutgers.edu/~shklar/papers/">http://www.cs.rutgers.edu/~shklar/papers/</a> .*	May 1996
	P	Verhoef, "Towards Automated Modification of Legacy Assets," Sep. 26, 1999, <a href="http://adam.wins.uva.nl/~x/ase/ase.html">http://adam.wins.uva.nl/~x/ase/ase.html</a> .*	09/26/1999
	Q	Cota, et al., "Control Flow Graphs as a Representation Language," Proceedings of the 26th Conference on Winter Simulation (1994) pp. 555-559.	1994
	R	Ballantyne, et al., "Method and System for Applying XML Schema," USSN 09/522,277 filed March 9, 2000.	03/09/2000
	S	Balalntyne, et al., "Method and System for Modeling a Legacy Computer System," USSN 09/522,319 filed March 9, 2000.	03/09/2000

EXAMINER	DATE CONSIDERED *
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